## **Contents**

Preface	<u>,                                      </u>	VII
List of	Abbreviations	XIII
Bibliog	graphy	XVII
_	1 Documents	
	References	
	aw	
Introd	uctory Remarks	1
Part I	– Introduction	3
1.	The Convention on Biological Diversity	4
2.	The Nagoya Protocol	5
3.	Success or Failure of International Environmental Law	6
Part II	- Convention on Biological Diversity	13
1.	Before the Negotiations	
2.	Preparations for the CBD	
3.	Protecting Biodiversity	
3.1	Definition of Biodiversity	22
3.2	Biodiversity and the Law	
3.3	Conservation of Biological Resources	
3.4	State Sovereignty vs. Private Property	
4. -	The CBD as a Framework Agreement	
5. 5.1	Conservation and Sustainable Use	33 36
5.2	The Precautionary Principle	
5.3	Science and Environmental Law	
5.4	Sustainable Development	
6.	Definitions and Scope of Application	50
7.	General Obligations of the Parties	53
8.	CBD Targets	57
9.	Protection of Biological Resources	60
10.	Provider and User Countries	61
11.	Access and benefit-sharing	62
12.	Liability under the CBD	65

13.	Bodies of the CBD67
14.	Non-State Actors and International Environmental Law71
Part II	I – The Nagoya Protocol 77
1.	The road to Nagoya77
2.	The Negotiations79
2.1	The EU Position during the Negotiations80
2.2	Switzerland's Position during the Negotiations83
2.3	Developing Countries' Position during the Negotiations86
3.	Nagoya Protocol Rules and Regulations
3.1	Scope and Objectives90
3.2	Access and Special Considerations93
3.3	Benefit Sharing, Collaboration and Conservation96
3.4	Compliance and Monitoring98
3.	Domestic Legislation regarding Traditional Knowledge 100
3.	4.2 Monitoring and Reporting101
	4.3 Compliance with Mutually Agreed Terms
	4.4 Individual Party Compliance
3.5	Model Contractual Clauses
3.6	Codes of Conduct and Guidelines and Best Practices
3.7	Assessment and Review
3.8	Raising Awareness
3.9	
2	Property
	9.2 Mechanisms and Resources
3.10	
	10.1 The Nagoya Protocol Conference of the Parties122
	10.2 Secretariat and Subsidiary Bodies
3.11	
3.12	
4.	The Nagoya Protocol and other International Rules
4.1	The International Treaty on Plant Genetic Resources
•••	for Food and Agriculture
4.2	Relationship with WIPO and WTO Law132
	2.1 WIPO132
	2.2 WTO133
4.	2.3 TRIPS135
Part I	V – National Implementation143
1.	Implementation Reports

2.	Implementation by the European Union145	5
3.	Implementation in Germany149	
4.	Implementation in the United Kingdom152	2
5.	Implementation in Switzerland	
5.1	Art. 23n NCHA – Due Diligence Requirement	6
5.2	Art. 23o NCHA – Notification requirement	
5.3	Art. 23q NCHA – Genetic Resources in Switzerland	
5.4	Art. 24a NCHA – Contraventions 158	
5.5	Ordinance for the implementation of the Nagoya Protocol 159	
	Scope and Terms of the Nagoya Ordinance	
	5.2 Due Diligence and Reporting Obligations	
	.5.3 Recognition of good practices and Collections	
	5.4 Domestic Access to Genetic Resources	
-	5.5 Conservation and sustainable Utilisation	
	5.6 Tasks of other Authorities	
	5.7 Other Amendments to the Law	
5.6	Conclusion for Switzerland	
6.	Implementation in Madagascar169	
7.	Influence on Non-Signatory Countries175	5
Part V		
Part V	– Issues and Highlights179	9
1.	- Issues and Highlights	9
	- Issues and Highlights	0
1. 2.	- Issues and Highlights	0 2 3
1. 2. 2.1	- Issues and Highlights	0 2 3 5
1. 2. 2.1 2.2	- Issues and Highlights	9 0 2 3 5 6
1. 2. 2.1 2.2 2.3	- Issues and Highlights	9 0 2 3 5 6 7
1. 2. 2.1 2.2 2.3 2.4	7 - Issues and Highlights       179         Success or Failure of the CBD and the Nagoya Protocol       180         Issues and Required Improvements       182         Improved knowledge on Biological Diversity       183         Lack of Bioprospecting Projects       184         Complexity of Benefit Sharing Contracts       186         Intellectual Property Rights Adjustment       187         Treating Genetic Resources as Information       190         A World Environmental Organisation       190	9 0 2 3 5 6 7 6 9
1. 2. 2.1 2.2 2.3 2.4 2.5	- Issues and Highlights179Success or Failure of the CBD and the Nagoya Protocol180Issues and Required Improvements183Improved knowledge on Biological Diversity183Lack of Bioprospecting Projects183Complexity of Benefit Sharing Contracts184Intellectual Property Rights Adjustment185Treating Genetic Resources as Information190A World Environmental Organisation190Organising Provider Countries20	9 0 2 3 5 6 7 6 9 1
1. 2. 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8	- Issues and Highlights179Success or Failure of the CBD and the Nagoya Protocol180Issues and Required Improvements183Improved knowledge on Biological Diversity183Lack of Bioprospecting Projects183Complexity of Benefit Sharing Contracts184Intellectual Property Rights Adjustment185Treating Genetic Resources as Information190A World Environmental Organisation190Organising Provider Countries20Reconciliation of International Environmental Law20	0 2 3 5 6 7 6 9 1 4
1. 2. 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9	179   Success or Failure of the CBD and the Nagoya Protocol   180   Issues and Required Improvements   181   Improved knowledge on Biological Diversity   181   Lack of Bioprospecting Projects   182   Complexity of Benefit Sharing Contracts   180   Intellectual Property Rights Adjustment   181   Treating Genetic Resources as Information   190   A World Environmental Organisation   190   Organising Provider Countries   200   Reconciliation of International Environmental Law   200   New Technologies to Facilitate Protection   200	9 0 2 3 5 6 7 6 9 1 4 6
1. 2. 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8	179   Success or Failure of the CBD and the Nagoya Protocol   180   Issues and Required Improvements   181   Improved knowledge on Biological Diversity   181   Lack of Bioprospecting Projects   182   Complexity of Benefit Sharing Contracts   180   Intellectual Property Rights Adjustment   181   Treating Genetic Resources as Information   190   A World Environmental Organisation   190   Organising Provider Countries   200   Reconciliation of International Environmental Law   200   New Technologies to Facilitate Protection   200	9 0 2 3 5 6 7 6 9 1 4 6
1. 2. 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9	179   Success or Failure of the CBD and the Nagoya Protocol   180   Issues and Required Improvements   181   Improved knowledge on Biological Diversity   181   Lack of Bioprospecting Projects   182   Complexity of Benefit Sharing Contracts   180   Intellectual Property Rights Adjustment   181   Treating Genetic Resources as Information   190   A World Environmental Organisation   190   Organising Provider Countries   200   Reconciliation of International Environmental Law   200   New Technologies to Facilitate Protection   200	0 2 3 5 6 7 6 9 1 4 6 8
1. 2. 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 2.1 3.	Success or Failure of the CBD and the Nagoya Protocol 186 Issues and Required Improvements 185 Improved knowledge on Biological Diversity 185 Lack of Bioprospecting Projects 185 Complexity of Benefit Sharing Contracts 186 Intellectual Property Rights Adjustment 187 Treating Genetic Resources as Information 197 A World Environmental Organisation 197 Organising Provider Countries 207 Reconciliation of International Environmental Law 207 New Technologies to Facilitate Protection 207 Support through Private Standards 207	0 2 3 5 6 7 6 9 1 4 6 8 0

2.	Implementation by the European Union	145
3.	Implementation in Germany	149
4.	Implementation in the United Kingdom	
5.	Implementation in Switzerland	
5.1	Art. 23n NCHA – Due Diligence Requirement	
5.2	Art. 23o NCHA – Notification requirement	157
5.3	Art. 23q NCHA – Genetic Resources in Switzerland	158
5.4	Art. 24a NCHA – Contraventions	
5.5	Ordinance for the implementation of the Nagoya Protoc	ol 159
5.	5.1 Scope and Terms of the Nagoya Ordinance	
5.	5.2 Due Diligence and Reporting Obligations	
5.	5.3 Recognition of good practices and Collections	162
5.	5.4 Domestic Access to Genetic Resources	163
5.	5.5 Conservation and sustainable Utilisation	
5.	5.6 Tasks of other Authorities	
5.	5.7 Other Amendments to the Law	
5.6	Conclusion for Switzerland	167
6.	Implementation in Madagascar	169
7.	Influence on Non-Signatory Countries	175
Part V	- Issues and Highlights	179
1.	Success or Failure of the CBD and the Nagoya Protocol	180
2.	Issues and Required Improvements	182
2.1	Improved knowledge on Biological Diversity	183
2.2	Lack of Bioprospecting Projects	185
2.3	Complexity of Benefit Sharing Contracts	186
2.4	Intellectual Property Rights Adjustment	187
2.5	Treating Genetic Resources as Information	196
2.6	A World Environmental Organisation	
2.7	Organising Provider Countries	
2.8	Reconciliation of International Environmental Law	
2.9	New Technologies to Facilitate Protection	206
2.10	Support through Private Standards	208
3.	Recommended Way Forward	210
Part V	I – Conclusion	217
Subiec	t Index	221